

IN THE HIGH COURT OF AUSTRALIA
MELBOURNE REGISTRY

No: M136 of 2018

On appeal from the Full Court of the Federal Court of Australia

B E T W E E N:

RUDY FRUGTNIET

Appellant

-and-

AUSTRALIAN SECURITIES & INVESTMENT COMMISSION

Respondent

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APPELLANT'S CHRONOLOGY



Part I: Certification

This chronology is in a form suitable for publication on the internet.

Part II: Principal Events

Date	Event	Reference
1978	The Appellant was convicted of dishonesty offences in the United Kingdom and sentenced to a term of imprisonment and served two years.	Full Court Reasons [7] [Core Appeal Book 131].
1997	The Appellant was found guilty in the Magistrates Court at Broadmeadows of obtaining property by deception and was fined \$1,000 without conviction.	Full Court Reasons [9] [Core Appeal Book 131].
November 2010	Unique Mortgage Services Pty Ltd lodged an application for an Australian credit licence with ASIC, and this was granted. Appellant is sole director, secretary and shareholder of UMS.	Full Court Reasons [23] [Core Appeal Book 135].

Filed on behalf of the Appellant

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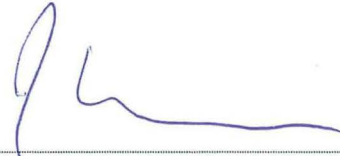
Date	Event	Reference
26 June 2014	ASIC's delegate decides, pursuant to s 80(1)(f) of <i>National Consumer Credit Protection Act 2009</i> (Cth), to make banning order against Appellant.	Full Court Appeal Book, Part B, items 37-38 [Core Appeal Book 185].
29 July 2014	Appellant applies to Administrative Appeals Tribunal (Tribunal) for review of delegate's decision.	Full Court Appeal Book, Part B, item 40 [Core Appeal Book 185].
6 March 2015	Tribunal affirms delegate's decision to make permanent banning order against appellant.	Core Appeal Book, item 1, p 5.
7 April 2015	Appellant files notice of appeal to Federal Court from Tribunal's decision.	Core Appeal Book, item 2, p 28.
9 June 2015	Appellant files amended notice of appeal.	Core Appeal Book, item 3, p 37.
22 August 2016	Federal Court dismisses appeal.	Core Appeal Book, item 5, p 103.
12 September 2016	Appellant files notice of appeal to Full Federal Court from judgment of Federal Court.	Core Appeal Book, item 8, p 111.
6 February 2017	Appellant files amended notice of appeal.	Core Appeal Book, item 9, p 118.
12 October 2017	Full Court of the Federal Court dismisses appeal	Core Appeal Book, item 11, p 176.
17 August 2018	High Court grants special leave to appeal from part of the decision of the Full Court	Core Appeal Book, item 13,

Date	Event	Reference
	of the Federal Court.	p 194
31 August 2018	Appellant files notice of appeal to High Court from part of the decision of the Full Court of the Federal Court.	Core Appeal Book, item 14, p 196.

Date: 5 October 2018



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